附件3

典当行年审工作情况汇总表

填报单位（盖章）： 填报时间： 年 月 日 单位：户

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| **序号** | **典当行名称** | **年审发现的问题** | | | | | | | | | | | | | | | | | | | | | | |
| 正常经营 | 失联状态 | 空壳状态 | 虚假出资、抽逃资金 | 净资产低于注册资本的90% | 集资、吸收或者变相吸收存款 | 与其他典当行拆借或变相拆借资金 | 超过规定限额从商业银行贷款 | 通过网络借贷信息中介机构、私募投资基金等机构或渠道融资 | 对外投资 | 向股东借款，对其股东的典当金额超过该股东的入股金额 | 发放信用贷款 | 超比例发放当金 | 超范围经营 | 存在当金利息预扣情况 | 利息及综合费率收取超过规定范围 | 当票管理违反行管规定规定 | 擅自变更登记事项、设立分支机构 | 违规处理绝当物品 | 未按要求报送月报数据 | 股东、法定代表人等存在涉黑涉恶或涉及重大诉讼案件 | 主要资产被查封、冻结、扣押 |
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注：该表由市（州）地方金融工作局汇总填报。根据每户典当行具体情况，在相应的栏目划“√”。